

CUSTOMER RELATIONSHIP SUMMARY

WISDOMTREE SECURITIES, INC.

February 28, 2025

WisdomTree Securities, Inc. (“WisdomTree,” “we,” or “us”) is registered with the U.S. Securities and Exchange Commission (“SEC”) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (“FINRA”). Brokerage and investment advisory services and fees differ. It is important for you to understand those differences. Free and simple tools are available to research firms and financial professionals at [Investor.gov/CRS](https://investor.gov/CRS), which also provides educational materials about broker-dealers, investment advisers, and investing.

WHAT INVESTMENT SERVICES AND ADVICE CAN YOU PROVIDE ME?

- We offer brokerage services to retail investors related to limited investment offerings. We facilitate the ability for retail investors on an “application-way” basis to purchase and sell shares of mutual funds offered by affiliates of WisdomTree, whose shares are secondarily recorded – or digitized – on one or more blockchains (“Digital Funds”). As an “application-way” broker-dealer, will we forward your investment application for the purchase or sale of mutual fund shares to the mutual fund directly. Your investment is held directly by the mutual fund, not in a brokerage account at our firm.
- We do not monitor your investments on an ongoing basis as part of our standard brokerage services. We also do not make recommendations. All brokerage activity is self-directed by you, which means that you are responsible for making the ultimate decision with respect to the purchase or sale of Digital Fund shares.
- **For more detailed information about our services:** Please visit the [WisdomTree Prime Mobile Phone Application \(“App”\)](#).

Conversation Starters: Given my financial situation, should I choose a brokerage service? Why or why not? How will you choose investments to recommend to me? What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?

WHAT FEES WILL I PAY?

- Our firm will receive a commission resulting from your transaction in or related to Digital Fund shares. The commission fees will be calculated as a percentage of the gross value purchased or sold. Please see our basic brokerage fee schedule for more information which is set forth in the [App](#).
- Generally, additional fees are payable at the time of each transaction. Digital Funds may charge their own separate management fees and other costs that you may incur. We do not receive any portion of these additional fees or costs.
- As a result of the commission fees you pay for our brokerage services, WisdomTree has an incentive for you to transact more often and in larger amounts of Digital Fund shares, which you should bear in mind as a self-directed investor.
- You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce your investment returns over time. Please make sure you understand what fees and costs you are paying.
- **For more detailed information about our fees and costs:** Please visit the [App](#).

Conversation Starter: Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

WHAT ARE YOUR LEGAL OBLIGATIONS TO ME WHEN PROVIDING RECOMMENDATIONS? HOW ELSE DOES YOUR FIRM MAKE MONEY AND WHAT CONFLICTS OF INTEREST DO YOU HAVE?

- We **do not** provide recommendations. The way we make money creates some conflicts of interests. You should understand and ask us about these conflicts because they can affect the services we provide you. Here are some examples to help you understand what this means.
- WisdomTree or its affiliates typically earn more when you transact with, use or invest in a product that we or one of our affiliates advise, manage or sponsor, such as a Digital Fund, or other assets made available through the App. This creates an incentive for WisdomTree to help facilitate your engagement with a WisdomTree product, such as conducting transactions. WisdomTree or its affiliates earn differing levels of compensation depending on the type of product you transact in or use. This creates an incentive for WisdomTree to help facilitate your engagement with certain WisdomTree products over others.
- **For more detailed information about these conflicts:** Please visit the [App](#).

Conversation Starter: How might your conflicts of interest affect me, and how will you address them?

HOW DO YOUR FINANCIAL PROFESSIONALS MAKE MONEY?

- WisdomTree financial professionals are compensated with a base salary and a discretionary bonus plan. WisdomTree believes that its compensation structure aligns with the interests of its clients as they provide multiple incentives for financial professionals to do the best possible job for each client. There is no set formula for financial professionals' base salary or discretionary bonus compensation. Rather, base salaries are intended to be competitive and any discretionary bonus compensation is based on annual firm objectives, overall firm profitability, bottom line results and each individual's contributions to the team.

DO YOU OR YOUR FINANCIAL PROFESSIONALS HAVE LEGAL OR DISCIPLINARY HISTORY?

- Yes. You can visit [Investor.gov/CRS](https://www.investor.gov/crs) for a free and simple search tool to research us and our financial professionals.

Conversation Starter: *As a financial professional, do you have any disciplinary history? For what type of conduct?*

ADDITIONAL INFORMATION

- Please contact us within the [App](#) or at (212) 801-2080 to request up-to-date information and/or a copy of this Relationship Summary free of charge.

Conversation Starters: *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*

EXHIBIT – SUMMARY OF MATERIAL CHANGES TO CUSTOMER RELATIONSHIP SUMMARY

WISDOMTREE SECURITIES, INC.

We recently updated our Customer Relationship Summary to answer “Yes” to the question about us or our financial professionals having legal or disciplinary history.